



## **Action Plan**

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## **1** INTRODUCTION

### 1.1 Background

In March 2005 the European Commission presented the **European Charter for Researchers** and the **Code of Conduct for the Recruitment of Researchers**, that aim to contribute to the development of a European labour market attractive to researchers. The charter and the code of conduct are recommendations of the Commission to Member States, invited to apply them voluntarily:

- The **European Charter for Researchers** is a set of general principles and requirements defining the roles, responsibilities and rights of researchers, entrepreneurs and funders.
- The **Code of Conduct for the Recruitment of Researchers**, which does not differ much from the standard rules governing recruitment, stresses the importance of open and transparent recruitment procedures, and the importance of diverse and experienced selection committees.

On another note, the "Human Resources Strategy Research" is being developed within the policy of the European Union to prioritize the rights and obligations of researchers and give them visibility. The strategy is a tool launched by the European Commission to support universities and research institutions and organizations that fund research in the application of the European Charter for Researchers and the Code of Conduct for the Recruitment of Researchers. The concrete and correct application of the Charter and the Code by universities makes them more attractive to researchers looking for a new employer or a host for a research project. The quality seal awarded by the European Commission "HR Excellence in Research" identifies universities and institutions that generate and support the existence of a stimulating and favourable research environment.

Assuming as own the objectives set by the European Commission in the development of the HRS4R, **the USC aims to improve its HR policy towards researchers** and thus fulfil the commitments made with the research community and society in general. These commitments were already reflected in the multiannual program of the institution and have already resulted in the signing of the commitment of the university to apply the principles of the "Charter & Code".

This document presents the strategy proposed by the USC to achieve these objectives.





## 1.2 The USC and its System of R+D+i

The University of Santiago de Compostela (USC) – the **leading academic, scientific and technological resource in Galicia**- has more than **five centuries of history**, which have provided us a rich academic tradition and an exceptional architectonic, artistic, bibliographic and natural patrimony, which is both alive and open to society. As a fruit of this bequeathal, the USC treasures a strong **international projection** in both its teaching and scientific relationships, which endow it with the profile of a complete university with competitive teaching and research in all the fields of knowledge, which all the comparative studies of the level of quality in universities always place among the **leading positions in the whole of the Spanish University System**.<sup>1</sup>

2nd Spanish University concerning the capacity to attract new students and the 15th Spanish University in global teaching quality

Among the first 25 European universities with the capacity for foreign student enrolment

2nd as regards to the capacity for research, 2nd in R&D per researcher revenues, 5th in income for R&D contracts, 4th in PCT patent applications in the OEPM, and 1st as regards to Revenues from royalties

A Spanish National Reference in Entrepreneurship and a Promoter of UNIRISCO (www.unirisco.org), the first Society of Venture Capital promoted by a Spanish University.

The USC is a multidisciplinary university that hosts 25,000 undergraduate students and more than 2,000 PhD students.

In the USC, studies are organised into **two campuses**: one located in Santiago de Compostela and the other in Lugo.

The USC is integrated in the new European educational model and is **one of the top universities in Europe as regards to the number of officially recognised degree courses offered** in Health Sciences, Sciences, Engineering and Architecture, Social Sciences and Law and Humanities. Concerning post-graduate studies, the USC offers advanced specialized and multidisciplinary training aimed at academic and professional specialization and research, with more than a hundred masters and PhD courses



Currently the university's facilities cover more than 1,300,000 square metres. In terms of human resources, the university has more than 5,200 professional and research personnel (about 50,1% female) involved in study and research and over 25,000 students.

<sup>&</sup>lt;sup>1</sup> <u>http://www.usc.es/en/info\_xeral/responsable/cifras/index.html</u>





A strategic project aligned with the HRS4R principles, called **Campus Vida**, has been awarded by the Spanish Ministry of Education and Ministry of Science and Innovation with the recognition of **International Campus of Excellence** as a result of the educational, research and social commitment of the USC.

**Research and innovation** is one of the most important activities in the USC, having infrastructure facilities and human resources of the highest international quality. Our R&D activities cover different fields (Health Sciences, Agrofood, Environment, Life Sciences, Engineering, Social Sciences and Law and Humanities) showing outreach average values for the last 5 years of 1900 articles published in JCR reviews/year; 300 disserted PhD Theses/year and 30 patents/year.

From the city of Santiago de Compostela, where cultural tradition and scientific vanguard work hand in hand in order to generate Excellence within an Excellent environment, we are already constructing the University of the XXI century.

STAFF & STUDENTS (1)	NUMBER
<b>Total researchers</b> (staff, fellowship holders, bursary holders, PhD. students either full-time or part-time involved in research)	5,218
Of whom are <b>international</b> (foreign nationality)	653
Of whom are <b>externally funded</b>	933
Of whom are women	2,657
Of whom are stage <b>R3 or R4</b> *	1,542
Of whom are stage <b>R2*</b>	222
Of whom are stage <b>R1</b> *	2,440
Total number of <b>students</b>	25,028
Total number of <b>staff</b> (including management, administrative, teaching and research staff)	4,104
RESEARCH FUNDING (2)	€
Total annual organisational <b>budget</b>	98,875,702
Annual organisational direct government funding (designated for research)	43,888,566
Annual competitive <b>government-sourced funding</b> ( <b>designated for research</b> , obtained in competition with other organisations – including EU funding)	37,253,225
Annual funding from <b>private</b> , non-government sources, <b>designated for</b> <b>research</b>	8,062,795
Annual funding from public sources designated for research	2,660,727
Annual <b>own funding for research</b>	6,710,389

Services) updated as of 07/01/2016

(2) Fiscal Year: 2015

\* Definitions in Attachments



## 2.1 Survey: Conclusions

**In general, a high level of discontent has not been observed**, with the average being slightly above the midpoint (a 3.3; 3 meaning "I neither agree nor disagree" and 4 meaning "I agree") with a level of positive responses ("I agree or fully agree") more than 20 points above the negative ones ("I disagree or strongly disagree").

The aspects in which higher levels of agreement are shown are, from highest to lowest:

- The need to periodically evaluate the research performance.
- The aspects that should include the selection of research staff.
- The conditions in which the activity is carried out in terms of health (also considering that the USC complies with the regulations in matters of prevention of work hazards, and that it trains and reports conveniently about it), confidentiality and protection against information losses.
- The existence in the USC of mechanisms that ensure the ethical principles of research.

On the other hand, those issues in which the **highest levels of disagreement** are observed are, from highest to lowest:

- The support of the USC for the **dissemination of research results** to society in general and, most of all, to the non-specialized public.
- The support and guidance of the USC for the **professional and work development** of the research staff and for the **improvement of their skills and competences**.
- The continuous training provided by the USC to research staff.
- The information provided by the USC regarding **regulations related to the training and working conditions** of the research staff.
- The means that the USC provides for the **dissemination and exploitation of research results**.

If a more detailed analysis is carried out, it is observed that...

The research staff of the USC considers...

#### ON THE CHARACTERISTICS OF THE SELECTION PROCESSES

... recruitment procedures are not internationally comparable.



#### ON THE DISSEMINATION OF THE SELECTION PROCESSES

... recruitment procedures are not properly disseminated in the international media. The research staff also expresses the need to improve the specifications of working conditions and the explanation of the development of the selection processes.

#### ON THE COMPOSITION OF THE SELECTION COMMITTEES

... selection committees don't have members from different disciplines nor international experts.

#### ON THE ASSESSMENT OF MERITS OF THE RESEARCH STAFF

... the selection criteria should assess the quality of publications and the ability to work in teams, in the first instance. The criteria should also include: the dissemination of science, international experience and knowledge transfer.

#### ON HEALTH STANDARDS AND SAFETY AT WORK

... the USC complies with health standards and safety at work regulations, and informs and trains properly on both issues.

#### **ON WORKING CONDITIONS**

... the lack of support and guidance for professional and work development is the one issue on the working conditions of the staff where there is more room for improvement, followed by the procedures for the resolution of complaints and claims, the recognition of teaching activity for the research staff (in particular for R2, R3 and R4), and the participation in decision-making bodies for researchers R2 and R4.

#### ON THE RELATIONSHIP BETWEEN STAFF AND TUTORS

... all aspects of the relationship between staff and tutors (organized, profitable, regular, with registration of the progress) are evaluated positively, except for the group of R2, who don't agree on any of the items.



#### **ON CONTINUOUS TRAINING**

... in a generalized manner, it is not perceived that the USC encourages continuous training among its research staff.

#### **ON ETHICAL AND PROFESSIONALS ASPECTS**

... the ethical and professional aspects where more room for improvement has been detected are: information about mechanisms for financing R&D and about the regulations related to each activity; avoiding loss of information; and the way in which the USC facilitates the dissemination and exploitation of research results. R3 and R4 researchers do not approve the current level of dissemination of the results of their research to the non-specialist public. We should emphasize the high level in agreement on the need to periodically review and evaluate the performance of researchers.

#### ON THE POSSIBLE ACTIONS TO IMPROVE THE DEVELOPMENT OF THE RESEARCH CAREER

... all possible actions to improve the development of the research career would be interesting, valued in this order: (1) creation of an advisory service for the scientific and professional career, (2) establishment of a training program on transversal competences, (3) development of a Code of Good Practices in Research, (4) development of an information system on curricular merits, (5) and of a system of supervision of the postdoctoral research staff.



### 2.2 Gap Analysis: Conclusions

### 2.2.1 Strengths

- With regard to the C&C, the general conclusion is that there is (and it is applied) a comprehensive **regulatory** base, in addition to **specific plans or mechanisms** related to most of the principles (Observatory of Ethics, Equality Plan, Regulation of Research Activities...), as well as **administrative procedures**.
- The organizational model for the deployment of the action plan is solid (people, structures, coordination and operation), particularly in key areas such as Quality, Research Management, PhD Training, Communication, etc...
- The survey concludes **that researches give approval to the compliance of the principles by the USC**, but there is ample room for improvement in this field, considered of high interest for the university community.
- The **ideal framework** is in place for strengthening policies and practices in Human Resources research and for deploying the action plan.

#### 2.2.2 Gap Analysis

The detected Gaps were grouped into a number of Areas for Improvement that were the basis for the subsequent design of the Action Plan, through the Prioritization Process described above. These **Areas for Improvement** are:

- 1. Definition and formalization of the research career at USC
- 2. Improving the organization and the processes related to the management of Human Resources research
- 3. Internationalization of the recruitment and career development processes
- 4. Generalization and facilitation of the development of good practices in research
- 5. Adapting processes to the OTM-R
- 6. Preparing researchers integrally for their professional development
- 7. Improving monitoring processes
- 8. Managing information associated with the Charter and Code

#### **2.2.2.1** Definition and formalization of the research career at USC

- The USC does not have a career research plan that establishes the different existing models, a plan that sets the minimum criteria to access each of them, a plan that associates the contractual modalities with funding mechanisms.
- The role of non-permanent postdoctoral researcher by project is not developed (asymmetry between contractual modality and functional profile).
- The limitations on the recruitment procedures limit the opportunities for career development.
- The current R scale does not reflect the postdoctoral casuistry regarding their functional profile and the degree of evolution throughout their research career.

# **2.2.2.2** Improving the organization and the processes related to the management of Human Resources research

- As a result of the lack of a research career plan, the management of Human Resources is not integrated. Although, to a greater or lesser degree, all scales have an area of support, the organizational fragmentation does not improve the visibility of the value of the services that the USC offers to the research community.
- Counselling and employment advisory services are oriented towards students.
- The USC does not have an area of postdoctoral affairs.
- It is necessary to improve the information on the selection processes. Researchers think that, in selection procedures, specifications over working conditions and explanations about the development of the process could be improved.
- Researchers feel that the selection committees are not multidisciplinary, do not include international experts, and the experience of their members is not sufficiently valued.
- The creation of an advisory service for the development of the research career is the priority action for researchers.



#### 2.2.2.3 Internationalization of the recruitment and career development processes

- In the process of recruiting investigators for research projects, no systematic and structured processes exist to manage the international dissemination of the selection process.
- Selection processes are difficult to access for foreign researchers: physical delivery of documents, documentary requirements of access (translation of documents), homologation of titles...
- At the language level: translation of the information in English and strengthening the language skills of the management staff.
- There are no procedures for receiving foreign researchers.
- Researchers feel that the selection processes are not internationally comparable.

## 2.2.2.4 Generalization and facilitation of the development of good practices in research

- Although there is a regulatory framework, organizational systems and procedures to meet the principles of the C&C, the information is not structured, nor its dissemination makes it easily accessible to researchers.
- Researchers, particularly R3 and R4, feel that the USC does not handle properly the dissemination of the results of their research to the non-specialist public, nor their exploitation.
- Researchers are reasonably satisfied with health and confidentiality conditions, but not so much in relation to issues relating to information security.

#### 2.2.2.5 Adapting processes to the OTM-R

• Reviewing the checklist on open, transparent and merit-based selection processes highlights the need to address this issue specifically in the context of the plan, especially to strengthen the comparability of processes and to attract international talent.



#### 2.2.2.6 Preparing researchers integrally for their professional development

- There is no Continuous Training Program for researchers. Although the USC has a range of continuous training courses for researchers, this is not part of a plan and it is not disseminated in a coordinated manner.
- Transversal competencies are not identified in association to each stage of the research.
- In the case of R1, training in transversal capacities is offered throughout the doctoral training but it is not certified.
- Continuous training actions are not oriented towards improving the employability of researchers outside the scientific or academic arena.
- Researchers do not feel that the USC fosters the continuous training of its researchers and stress the relevance of establishing a training plan on transversal capacities.

#### 2.2.2.7 Improving monitoring processes

- Although the regulatory, organizational and procedural scheme regarding the monitoring of PhD students is settled, so far doctoral programs have operated autonomously. The new organizational model is an opportunity to improve monitoring processes and to spread good practices in issues of direction of theses.
- Progress logging procedures do not reflect the evaluation of the student on the development of the monitoring process.
- Doctoral candidates are nor consulted about their satisfaction with the monitoring process; however, the survey shows that in general, students are satisfied with its development (except doctoral candidates related to Engineering and Architecture).

#### 2.2.2.8 Managing information associated with the "Charter and Code"

• One aspect common to almost all of the principles is how difficult it is to find information on each of them (scattered, outdated and sometimes exclusively in Galician).

## **3** ACTION PLAN

In line with the strategic direction defined for the period 2011-2020, the USC has developed an academic, scientific and competitive entrepreneurial environment, an environment that is progressing significantly in its international positioning. However, the USC still shows a certain weakness in its ability to attract and consolidate international talent as a strategic value in the process of internationalization, and in the visibility of its more specialized research capabilities.

The HRS4R process has made it possible to detect and prioritize those areas where, in terms of management and development of research talent, it is more relevant to take action, so that the USC can advance in the process of consolidating a research environment that will position it at the level of its European references.

To accomplish this general strategic goal, the HR USC Action Plan will focus on the following aims:

- **To promote the recruitment, retention and development of talent** to develop quality teaching and research, which helps the USC to reach a position of international leadership in the areas of specialization.
- To establish an **adequate and stimulating work environment** for the USC research staff to facilitate their permanence in the University while facilitating their access to other institutions or companies at national and international level.
- To improve internal processes of development and management of research staff.

To meet these goals and in line with the main conclusions of both the GAP analysis and consultation to researchers activities (survey and focus groups), the plan has been conceived as follows:

• **Structure around 5 priorities**: actions are grouped in 5 priorities (big topics) that will frame the USC Human Resources Action plan during the next five years.

#### Priority 1: Definition and formalization of the Research Career.

The flagship action in this area is the development of the USC Research Career Plan, which is scheduled to be approved by the end of 2018.

#### Priority 2: Improving processes and procedures of selection and recruitment.

This area comprises the measures and actions that will allow the USC to progress towards the adoption of the OTM-R system. More urgently will be implemented those that will allow the USC to improve compliance with the principles of the C&C, while initiating the in-depth review of the recruitment processes to comply with the OTM-R.



#### **Priority 3: Good practices in research**

The actions planned in this area aim to contribute to the development of a research environment of excellence in terms of working conditions, and to ensure that research is conducted in the highest quality standards.

#### **Priority 4: Training and career development**

The set of measures and actions in training and career development aim at increasing and improving conditions and opportunities for researchers to become highly skilled professionals in academia or in the industry sector.

#### **Priority 5: Information Management**

Last but no least, this area focuses on improving the dissemination and access of information related to human resources in research management processes, mainly through the USC website.

**Internationalization and Awareness of researchers as transversal issues:** in the definition of actions, two principles have been considered: coverage of specific weaknesses and, where possible, to increase the international dimension of recruitment and selection processes and / or to foster the awareness of researchers.

The following table indicates actions (number) that address internationalization and awareness of researchers by priority.

	P1: Research Career	P2: Processes & Proced	P3: Good Practices	P4: Training & Career Dev.	P5: Inform Manag
Internationalization of recruitment and selection processes	2	5,6,7,9, 10,11,12, 13,14	21,22	23,24,25 35	36,37,38
Increase awareness of researchers	3,4	7,8,9,10, 12,13	15,16,17, 18,20,26, 28,33,34	15,16,17, 18,20,26, 28,33,34	36



#### 3.1 Resume

## **3.1.1** Definition and Formalization of Research Career

	ACTIONS			EAD	LINI	E		AREAS INVOLVED		
	TITLE and DESCRIPTION	16	17	18	19	20	21	Responsible	Participants	
1.	<b>Preliminary study for defining a Research Career Plan at the USC</b> Analysis on the concept and teaching research tasks of the Teaching and Research Staff at the USC. The report is part of the preparatory studies for the definition and formalization of a Research Career Plan of the USC.		Q1					General Secretariat	General Secretariat	
2.	<b>Research Career Plan at the USC</b> Preparation and approval of a Research Career Plan Career at the USC. This document will establish professional research categories, functions and responsibilities. In addition, it will establish the minimum criteria to access each of them; the contractual arrangements and funding mechanisms will be associated.			Q4				<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	<ul> <li>General Secretariat.</li> <li>Management.</li> <li>Vice-rectorship for Degrees and Teaching and Research Staff</li> </ul>	
3.	<b>Research Career Chart at the USC (2016)</b> Development and web dissemination of a chart of research positions at the USC under the R scale including information on funding schemes associated with different models.	Q4						<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	• Web Office	
4.	Alignment of the strategic planning of research institutes with the HR Action Plan Inclusion in the strategic plans of the University Research Institutes and Singular Centers of the objectives and action lines of the research career aligned with the HR Plan.		Q1					<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	Directorate of Research Institutes	



## 3.1.2 Improving Processes and Procedures of Selection and Recruiting

### 3.1.2.1 Improving processes and procedures

	ACTIONS			EAD	LINE	E		AREAS INVOLVED		
	TITLE and DESCRIPTION	16	17	18	19	20	21	Responsible	Participants	
5.	Simplification of administrative procedures for selection Review aimed at simplifying procedures for participation in selection processes. For example: acceptance of documents in English.		Q1					General Secretariat	Vice-rectorship for     Research and Innovation	
6.	<b>eGovernment</b> Implementation of e-government for submitting applications for selection processes.		Q4					• General Secretariat	<ul> <li>Vice-rectorship for Research and Innovation</li> <li>Vice-rectorship for Communication and Coordination. Area of Information and Communication Technologies (ATIC)</li> </ul>	
7.	<b>Protocol for the international dissemination of selection processes</b> Development of a protocol for the dissemination of selection processes of researchers in Euraxess and specialized web pages.		Q3					<ul> <li>Vice-rectorship for Communication and Coordination: ATIC and Web Office</li> </ul>	<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	



**USC HUMAN RESOURCES** 

#### **STRATEGY FOR RESEARCHERS**

	ACTIONS		D	EAD	LINE	Ξ		AREAS IN	VVOLVED
	TITLE and DESCRIPTION	16	17	18	19	20	21	Responsible	Participants
8.	<b>Charters for Services on Human Resources Management</b> Preparation and publication of Charters for Services on Human Resources Management to clarify the roles of the various departments of the USC involved in planning, selection, recruitment and professional development of Human Resources. (Progressive Action 2018-2021 with two milestones)			Q1			x	• Management	<ul> <li>Vice-rectorship for Communication and Coordination. Area of Quality and Improvement of Procedures.</li> <li>Vice-rectorship for Academic Organization and Teaching and Research Staff.Teaching and Research Staff Planning Service; Service of Staff Management and Administration and Services Staff Planning and Programming Service.</li> <li>Vice-Rector for Students, Culture and Social Responsibility. Area of Work Orientation.</li> <li>Vice-rectorship for Research and Innovation. Area of Management and Valorization of R&amp;D</li> </ul>
9.	Creation of the "USC Welcome Center" ("Centro de Bienvenida Internacional de la USC") Implementation of new infrastructure and a central service for the reception and mentoring of new foreign researchers, strengthening the activity of the Euraxess Center.		Q2					<ul> <li>Vice-rectorship for Internationalization</li> </ul>	<ul> <li>Vice-rectorship for Research and Innovation.</li> </ul>
10.	Welcome Guide for new researchers Preparation and dissemination of a welcome guide for new researchers with basic information for the development of their research activity at the USC, as well as life in the cities of Santiago de Compostela and Lugo.		Q3					<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	<ul> <li>Area of Quality and Improvement of Procedures.</li> </ul>



## **3.1.2.2** Adoption of the OTM-R system

	ACTIONS		D	DEAD	lin	E		AREAS IN	IVOLVED
	TITLE and DESCRIPTION	16	17	18	19	20	21	Responsible	Participants
11.	<b>Working Group OTM-R system</b> Creation and implementation of a working group with the task of reviewing the processes of selection and recruitment of researchers for the progressive adaptation to the OTM-R system. The group will consist of representatives of all services with competence in selection and recruitment of researchers.		Q1					• General Secretariat	<ul> <li>Vice-rectorship for Research and Innovation.</li> <li>ViceManagement for Staff.</li> <li>Vice-rectorship for Degrees and Teaching and Research Staff</li> <li>Selection of researchers.</li> <li>CIEDUS.</li> </ul>
12.	<b>Protocol and guide of the OTM-R system</b> Development of a protocol and a guide for implementation of the OTM-R system in the recruitment of researchers R1 and R2. (Progressive implementation along with reviewing the aspects included in the OTM-R)			Q3				• General Secretariat	<ul> <li>Vice-rectorship for Research and Innovation.</li> <li>ViceManagement for Staff</li> <li>Vice-rectorship for Degrees and Teaching and Research Staff</li> <li>Selection of researchers.</li> <li>CIEDUS</li> </ul>
13.	<b>Training under the OTM-R system</b> Training actions for researchers on implementation of the OTM-R system.			Q4				• General Secretariat	<ul> <li>Vice-rectorship for Research and Innovation.</li> <li>ViceManagement for Staff.</li> <li>Vice-rectorship for Degrees and Teaching and Research Staff</li> <li>Selection of researchers.</li> <li>CIEDUS</li> </ul>
14.	Improvement of procedures for hiring of non permanent Teaching and Research Staff. Modification of personnel recruitment procedures for adaptation to the OTM-r system		Q2				x	<ul> <li>Vice-rectorship for Academic Organization and Teaching and Research Staff</li> </ul>	<ul> <li>Vice-rectorship for Research and Innovation</li> <li>Management</li> </ul>



## **3.1.3 Good Practices in Research**

	ACTIONS			DEAD	LIN	E		AREAS IN	NVOLVED
	TITLE and DESCRIPTION	16	17	18	19	20	21	Responsible	Participants
15.	<b>Code of Good Practices in Research at the USC</b> Development and dissemination of a Code of Best Practices in Research including actions, recommendations and commitments for the development of research activities at the USC. The code will include the following: research principles and values, organization of research, planning, research practice, collection and storage of materials and data, exploitation and dissemination of results, evaluation of research performance and accountability.		Q4					<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	<ul> <li>Vice-rectorship for Communication and Coordination.</li> <li>Research Institutes and Centres managers and scientific directors</li> </ul>
16.	<b>Charter for Services on Research Management for researchers</b> Definition and dissemination of the Charter for Services on Research Management at the USC.			Q2				<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	<ul> <li>Vice-rectorship for Communication and Coordination. Area of Quality and Improvement of Procedures.</li> <li>Research Institutes and Centres managers and scientific directors</li> </ul>
17.	<b>Training in research management procedures</b> Training activities for R1 and R2 on research management procedures.				x			<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	<ul> <li>CIEDUS.</li> <li>Research Institutes and Centres managers and scientific directors</li> </ul>
18.	<b>Unit of science dissemination</b> Creating a unit of science dissemination to coordinate all outreach activities of the USC.		Q4					<ul> <li>Vice-rectorship for Communication and Coordination</li> </ul>	<ul> <li>Vice-rectorship for Research and Innovation.</li> <li>Vice-rectorship for Students, Culture and SR.</li> <li>Management</li> </ul>
19.	<b>Improving research infrastructures</b> Performing works to improve safety and health conditions in workplaces, facilities, equipment and processes. Implementation of risk control measures assessed as significant in the risk assessments.		Q4					• Management	<ul> <li>Research centres and other research infrastructures</li> </ul>



**USC HUMAN RESOURCES** 

#### STRATEGY FOR RESEARCHERS

ACTIONS		C	DEAD	LINE	E		AREAS INVOLVED		
TITLE and DESCRIPTION	16	17	18	19	20	21	Responsible	Participants	
20. Training in occupational risk prevention Mandatory training in prevention of occupational risks for new researchers in the Singular Centres of Research. (Continuing action with annual milestones)	Q4	Q4	Q4	x	x	x	Management	Risk Prevention Service     CIEDUS	
21. Information System on scientific production Development and implementation of a new information system on scientific activity. The tool will store information on scientific production and participation in R&D projects of the research staff. The system will provide more information for the evaluation of the research performance.		Q4					<ul> <li>Vice-rectorship for Communication and Coordination.</li> </ul>	<ul> <li>Vice-rectorship for Research and Innovation.</li> <li>ATIC.</li> </ul>	
22. III PEIOHM Begin development of III PEIOHM 2019 - 2023			Q4				<ul> <li>Vice-rectorship for Students, Culture and SR.</li> </ul>	Office for Gender Equality	



## 3.1.4 Training and Professional Development

### 3.1.4.1 Processes and procedures

ACTIONS		D	EAD	LINE	Ξ		AREAS INVOLVED		
TITLE and DESCRIPTION	16	17	18	19	20	21	Responsible	Participants	
<b>23.</b> System of Internal Quality Guarantee of the CIEDUS Development of a Manual processes and procedures for the system of quality assurance CIEDUS. (Progressive action starting in 2017 and ending by 2020)		Q2	x	x	x		<ul> <li>Vice-rectorship for Communication and Coordination. Area of Quality and Improvement of Procedures</li> </ul>	• CIEDUS	
24. Feasibility report of the PhD Research Proposal Generalization of the feasibility report of the PhD research proposal for improving both the students admission and supervisors allocations processes. Progressive action with two scheduled milestones			Q1			x	• CIEDUS	Doctoral Schools	
<b>25.</b> Review procedures of allegations in doctoral training Improved procedures of allegations (incorporating an improved process for the student to make allegations in the event that the monitoring report is unfavorable).		Q4					General Secretariat	CIEDUS     Doctoral Schools	
26. Satisfaction Survey on Doctoral Education Conducting an annual satisfaction survey for various stakeholders on doctoral education at the USC (stakeholders include students, tutors, coordinators, etc)		Q4					<ul> <li>Vice-rectorship for Communication and Coordination. Area of Quality and Improvement of Procedures</li> </ul>	<ul> <li>CIEDUS</li> <li>Doctoral Schools</li> <li>Technical Secretariat HRS4R2</li> </ul>	
<b>27.</b> Anti-plagiarism software Acquisition and implementation of a control tool against plagiarism in doctoral theses.		Q1					• CIEDUS	Vice-rectorship for Communication and Coordination. ATIC	

<sup>&</sup>lt;sup>2</sup> See Implementation Section



## **3.1.4.2** Improving the supervision

ACTIONS			DEAD	LINI	E		AREAS INVOLVED		
TITLE and DESCRIPTION	16	17	18	19	20	21	Responsible	Participants	
28. Ph D Supervision Good Practice Guide Preparation of a guide of good practices of supervision and direction of thesis.		Q4					• CIEDUS	Doctoral Schools	
29. Supervision of postdocs (I / III): International Benchmarking Study of best practices on functions, processes and programmes linked to the creation of the post of supervisor of postdoctoral researchers (analysis of responsibilities, processes and monitoring mechanisms, etc)		Q2					<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	<ul> <li>Research Centers and Institutes Managers and Scientific Directorates</li> <li>CIEDUS</li> </ul>	
30. Supervision of postdocs (II / III): Pilot project Pilot project for the development of the position of Supervisor of Postdocs in Singular Centres of Research.			Q1				<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	<ul> <li>Research Centers and Institutes Managers and Scientific Directorates</li> <li>CIEDUS</li> </ul>	
<b>31.</b> Supervision of postdocs (III / III): Postdocs Supervisor Regulatory, organizational and functional development for the creation of the position of Postdoc Supervisor at the USC.				x			• General Secretariat	<ul> <li>Vice-rectorship for Research and Innovation.</li> <li>Vice-rectorship for Degrees and Teaching and Research Staff</li> </ul>	



### **3.1.4.3** Training in professional skills and professional development

	ACTIONS		۵	DEAD	LIN	E		AREAS IN	VOLVED
	TITLE and DESCRIPTION	16	17	18	19	20	21	Responsible	Participants
32.	<b>Career development Services</b> Redefining the area of work and employment orientation to incorporate new career development services for researchers (covering R1 to R4). Type of services in this field can be mentorship, advice in mobility, training, etc.				x			<ul> <li>Vice-rectorship for Students, Culture and SR . Area of Work Orientation</li> </ul>	<ul> <li>Management.</li> <li>Vice-rectorship for Research and Innovation</li> </ul>
33.	Map of professional skills Profiling professional skills of researchers for each of the categories of the R scale of the USC. The map will be used as a tool for identifying training needs and needs in the training offer addressed to each category.				x			<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	<ul> <li>Vice-rectorship for Degrees and Teaching and Research Staff</li> <li>Vice-rectorship for Students, Culture and SR</li> <li>CIEDUS</li> </ul>
34.	<b>Catalog of courses in transversal skills</b> Development of an online repository of training offered by the the USC in transversal skills.				x			<ul> <li>Vice-rectorship for Research and Innovation</li> </ul>	<ul> <li>Vice-rectorship for Degrees and Teaching and Research Staff</li> <li>Vice-rectorship for Students, Culture and SR</li> <li>CIEDUS</li> <li>Vice-rectorship for Communication and Coordination.ATIC</li> </ul>
35.	Certification of training in professional and transversal skills received during the doctoral period Certification on transversal training being developed within the framework of doctoral programs. Certification of skills through courses in which they participate and recognizing the skills acquired through doctoral training.					x		• CIEDUS	



## **3.1.5** Improving information management

ACTIONS		DEADLINE			E		AREAS INVOLVED	
TITLE and DESCRIPTION		17	18	19	20	21	Responsible	Participants
<b>36.</b> Job Website Design and development of a multilingual web portal on job opportunities at the USC. It will include both job offers and a repository of information on selection and recruitment procedures.		Q4					<ul> <li>Vice-rectorship for Communication and Coordination.ATIC</li> </ul>	<ul> <li>Vice-rectorship for Research and Innovation</li> <li>Web Office</li> </ul>
<b>37.</b> Language training for management staff Actions to strengthen linguistic competencies of the Administration and Services Staff that develops their activity in the field of research management with the programming of training actions, specifically in English, addressed to them.				x			<ul> <li>ViceManagement for Staff</li> </ul>	<ul> <li>Vice-rectorship for Internationalization.</li> <li>Modern Language Centre</li> </ul>
<ul> <li>Brocedures for admission and enrollment R1 in English</li> <li>English translation of computer procedures for admission and registration of R1.</li> </ul>		Q3					General Secretariat	<ul> <li>CIEDUS</li> <li>Vice-rectorship for Communication and Coordination.ATIC</li> </ul>



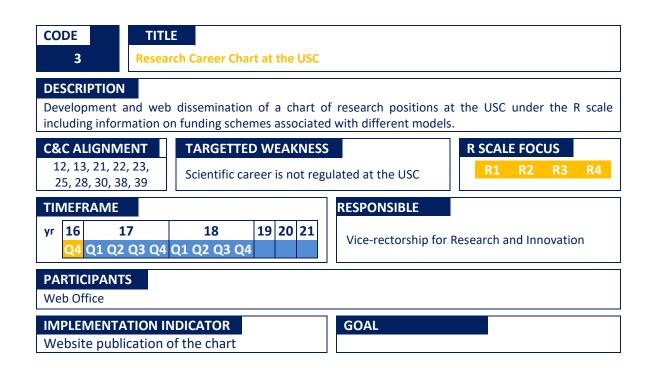
## 3.2 Actions

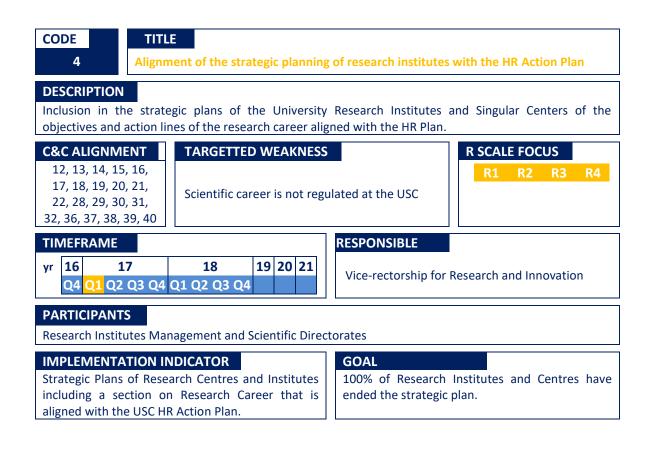
## 3.2.1 Definition and Formalization of Research Career

CODE     TITLE       1     Preliminary study for defining a Res	earch Career Plan at the USC			
<b>DESCRIPTION</b> Analysis on the concept and teaching research tasks of the Teaching and Research Staff at the USC. The report is part of the preparatory studies for the definition and formalization of a Research Career Plan of the USC.				
C&C ALIGNMENT         TARGETTED WEAKNESS           12, 13, 21, 22, 23,         Scientific career is not regular           25, 28, 30, 33, 38, 39         Scientific career is not regular	R SCALE FOCUSlated at the USCR1R2R3R4			
TIMEFRAME         yr       16       17       18       19       20       21         Q4       Q1       Q2       Q3       Q4       Q1       Q2       Q3       Q4       V       V	RESPONSIBLE General Secretariat			
PARTICIPANTS       General Secretariat				
IMPLEMENTATION INDICATOR Report presentation to the governing bodies	GOAL The report is finished before mid december 2016			

CODETITLE2Research Career Plan at the USC				
<b>DESCRIPTION</b> Preparation and approval of a Research Career Plan Career at the USC. This document will establish professional research categories, functions and responsibilities. In addition, it will establish the minimum criteria to access each of them; the contractual arrangements and funding mechanisms will be associated.				
C&C ALIGNMENT         TARGETTED WEAKNESS           12, 13, 21, 22, 23,         Scientific career is not regular           25, 28, 30, 33, 38, 39         Scientific career is not regular	<b>D1 D2 D2 D4</b>			
TIMEFRAME           yr         16         17         18         19         20         21           Q4         Q1         Q2         Q3         Q4         Q4         U         U	<b>RESPONSIBLE</b> Vice-rectorship for Research and Innovation			
PARTICIPANTS General Secretariat. Management. Vice-rectorship for Degrees and Teaching and Resear	rch Staff			
IMPLEMENTATION INDICATOR Research Career Plan Approved by Governing Council	GOAL Modification of internal rules according to the new Research Career Plan content			



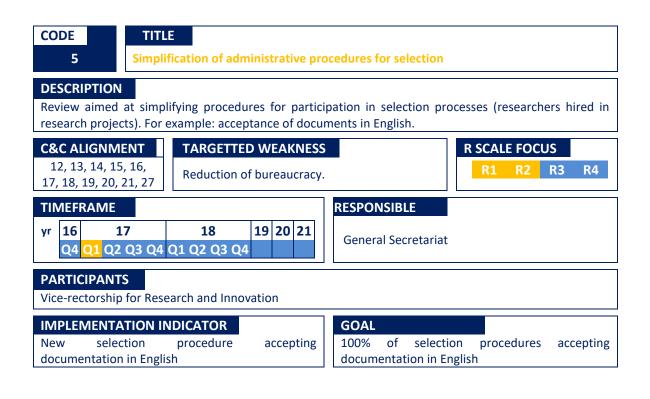






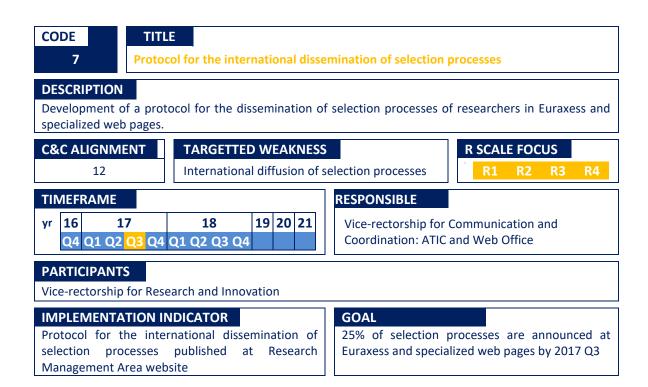
## 3.2.2 Improving Processes and Procedures of Selection and Recruiting

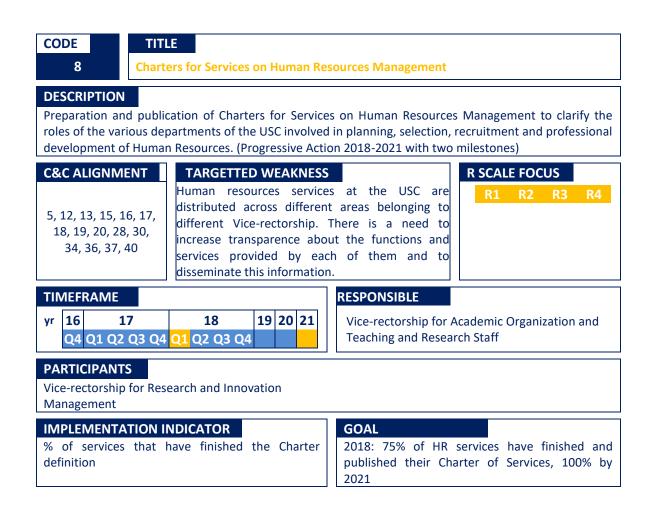
#### 3.2.2.1 Improving processes and procedures



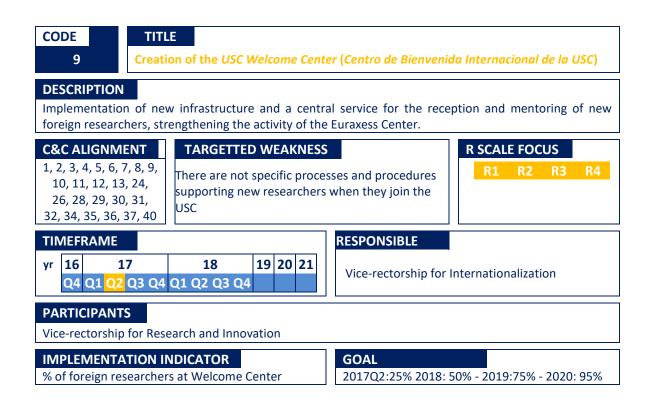
CODE 6	TITLE eGovernment			
DESCRIPTION Implementation	of e-government for submitting applications for selection processes.			
<b>C&amp;C ALIGNME</b> 12, 13, 14, 15, 17, 18, 19, 20,	16, eGovernenment is not implemented for R1 R2 R3 R4			
TIMEFRAME yr 16 17 Q4 Q1 Q2	7       18       19       20       21         Q3       Q4       Q1       Q2       Q3       Q4       General Secretariat			
PARTICIPANTSVice-rectorship for Research and InnovationVice-rectorship for Communication and Coordination. Area of Information and CommunicationTechnologies (ATIC)				
	GOALopplications submitted by web50% of job applications received by web by Q42017			







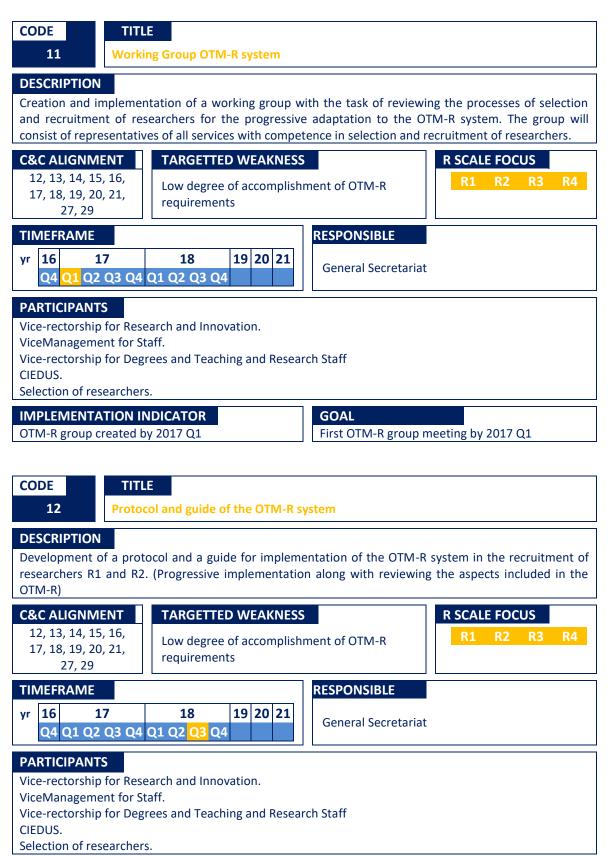




CODE	TITLE			
10 Welcome Guide for new researchers				
<b>DESCRIPTION</b> Preparation and dissemination of a welcome guide for new researchers with basic information for the development of their research activity at the USC, as well as life in the cities of Santiago de Compostela and Lugo.				
C&C ALIGNMI	ENT	TARGETTED WEAKNESS		R SCALE FOCUS
1, 2, 3, 4, 5, 6, 7 10, 11, 12, 13 26, 28, 29, 30 32, 34, 35, 36, 3	, 24, , 31,	There are not specific processes and procedures supporting new researchers when they join the USC		R1 R2 R3 R4
TIMEFRAME			RESPONSIBLE	
yr 16 1 Q4 Q1 Q2	-	18     19     20     21       Q1     Q2     Q3     Q4	Vice-rectorship for F	Research and Innovation
PARTICIPANTS           Vice-rectorship for Communication and Coordination. Area of Quality and Improvement of Procedures.				
IMPLEMENTATION INDICATOR GOAL				
Publication of "	Wellcom	e guide"		s who received the welcome ,2018: 50%, 2019:75%, 2020:



#### 3.2.2.2 Adoption of the OTM-R system



IMPLEMENTATION INDICATOR

Publication of OTM-R protocol and guide

GOAL

USC HUMAN RESOURCES

US

#### **STRATEGY FOR RESEARCHERS**

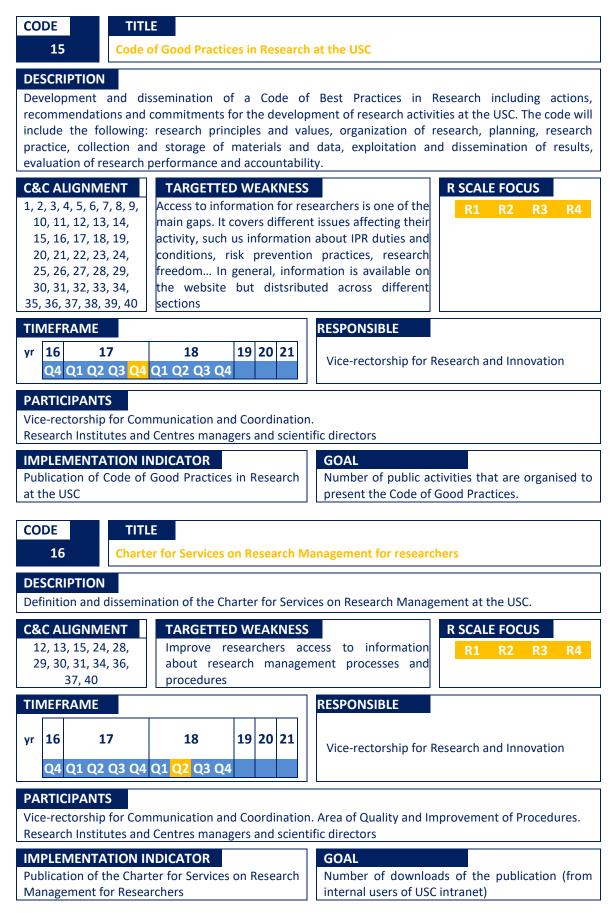
CODE					
13 Trainin	g in OTM-R system				
	<u> </u>				
DESCRIPTION					
Training actions for rese	archers on implementation o	of the OTM-R system (f	irs training actions in 2018)		
<b>C&amp;C ALIGNMENT</b>	TARGETTED WEAKNESS		R SCALE FOCUS		
12, 13, 14, 15, 16,					
17, 18, 19, 20, 21,	Low degree of accomplishr	ment of OTM-R	R1 R2 R3 R4		
27, 29	requirements				
TIMEFRAME		RESPONSIBLE			
TIIVIEFKAIVIE		RESPONSIBLE			
yr 16 17	18 19 20 21	General Secretariat			
Q4 Q1 Q2 Q3 Q4 Q1 Q2 Q3 Q4					
PARTICIPANTS					
Vice-rectorship for Research					
ViceManagement for Staff.					
Vice-rectorship for Degrees and Teaching and Research Staff CIEDUS.					
Selection of researchers.					
IMPLEMENTATION INDICATOR GOAL					
Number of training action	ons	1 training action in 2	2018		

	TLE rovement of procedures for hir	ing non permanent Teaching and Research Staff		
DESCRIPTION Modification of non the OTM-r system	permanent Teaching and Resea	arch Staff recruitment procedures for adaptation to		
<b>C&amp;C ALIGNMENT</b> 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 27, 29	TARGETTED WEAKNESSThe position of non-permaresresearcher hired by a giverdeveloped. As a result thebetween contractual arrantfunctional research profilecannot have teaching assignedprojects, etcThe limitations on recruitintopportunities for career deprovide teaching or lead p	anent postdoctoral n project is not re are asymmetries ngements and es, as researchers gnments, cannot lead ment procedures limit evelopment (unable to		
TIMEFRAME           yr         16         17           Q4         Q1         Q2         Q3	18 19 20 21 4 Q1 Q2 Q3 Q4	<b>RESPONSIBLE</b> Vice-rectorship for Academic Organization and Teaching and Research Staff		
PARTICIPANTS         Vice-rectorship for Research and Innovation         Management				
IMPLEMENTATION New procedures app	INDICATOR oved by Governing Council	GOAL New procedures approved by Governing Council		

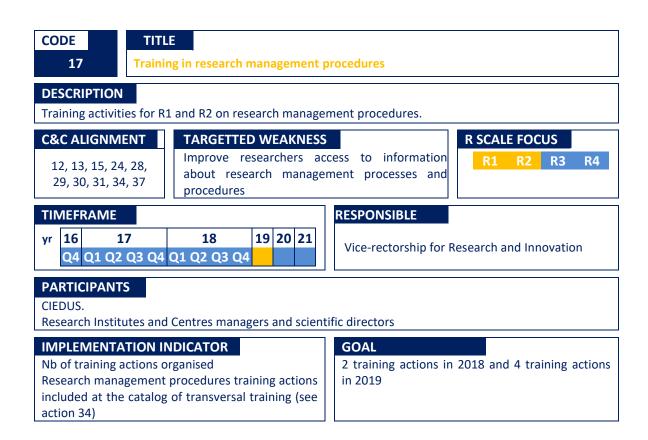
Action Plan **31** 



## 3.2.3 Good Practices in Research







CODETITLE18Unit of Science Dissemination						
DESCRIPTION Creating a unit of science dissemination to coordinat	DESCRIPTION           Creating a unit of science dissemination to coordinate all outreach activities of the USC.					
C&C ALIGNMENTTARGETTED WEAKNESS8, 9Although the university had is very active in scientific did there is no t an specific serield.	as a long tradition and R1 R2 R3 R4 lissemination activities					
TIMEFRAME           yr         16         17         18         19         20         21           Q4         Q1         Q2         Q3         Q4         Q4         0         0	RESPONSIBLE Vice-rectorship for Communication and Coordination					
<b>PARTICIPANTS</b> Vice-rectorship for Research and Innovation. Vice-rectorship for Students, Culture and SR. Management						
IMPLEMENTATION INDICATOR Setting up of the Unit of Science Dissemination	GOAL The creation of the scientific diffusion unit is approved by the governing council					

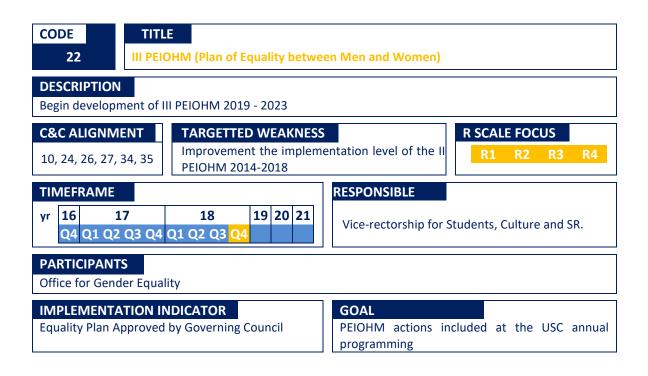


CODE	TITLE				
19	Improving research infrastructures				
DESCRIPTION					
-	Performing works to improve safety and health conditions in workplaces, facilities, equipment and processes. Implementation of risk control measures assessed as significant in the risk assessments.				
<b>C&amp;C ALIGNME</b> 7, 23	ENTTARGETTED WEAKNESSR SCALE FOCUSAlthough according to the survey safety is not a main concern for researchers, the university investment program for 2017 include improvements in safety and health conditionsR SCALE FOCUS				
TIMEFRAME	RESPONSIBLE				
yr 16 1 Q4 Q1 Q2	7         18         19         20         21           Q3         Q4         Q1         Q2         Q3         Q4         Management				
PARTICIPANTS Research centres and other research infrastructures					
	TION INDICATORGOALtender procurements concerning100% of planned investment done in 2017ts				

	TITLE aining in occupational risk prevention			
<b>DESCRIPTION</b> Mandatory training in prevention of occupational risks for new researchers in the Singular Centres of Research. (Continuing action with annual milestones)				
<b>C&amp;C ALIGNMENT</b> 5, 7, 23	TARGETTED WEAKNESSR SCALE FOCUSAlthough according to the survey safety is not a main concern for researchers, better training is risk prevention is one of the gapsR1R2R3R4			
TIMEFRAME           yr         16         17           Q4         Q1         Q2         Q3	18       19       20       21         Q4       Q1       Q2       Q3       Q4       Image: Comparison of the second sec			
PARTICIPANTS Risk Prevention Service CIEDUS				
IMPLEMENTATION Nb of training action				



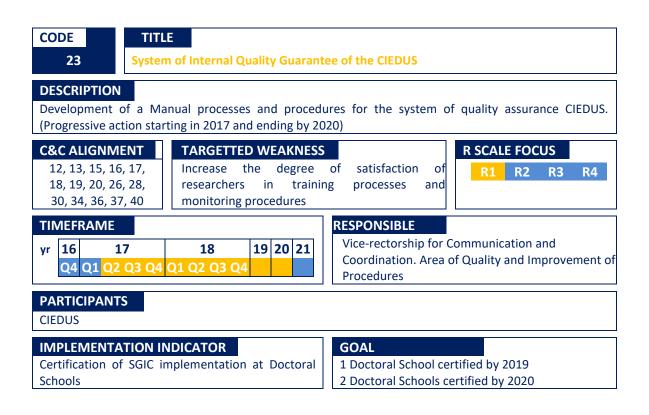
CODE     TITLE       21     Information System on scientific production				
<b>DESCRIPTION</b> Development and implementation of a new information system on scientific activity. The tool will store information on scientific production and participation in R&D projects of the research staff. The system will provide more information for the evaluation of the research performance.				
C&C ALIGNMENTTARGETTED WEAKNESS The university has no mechanisms of self- assessment for evaluating researchers. Improvement and adoption of tools for improving 				
TIMEFRAME           yr         16         17           Q4         Q1         Q2         Q3         Q	18 19 20 21	RESPONSIBLE Vice-rectorship for Communication and Coordination.		
PARTICIPANTS         Vice-rectorship for Research and Innovation.         ATIC.				
IMPLEMENTATION % of researchers CVs system	INDICATOR loaded into the information	GOAL 100% of researchers belonging to Research Institutes and Centers have loaded their CVs		





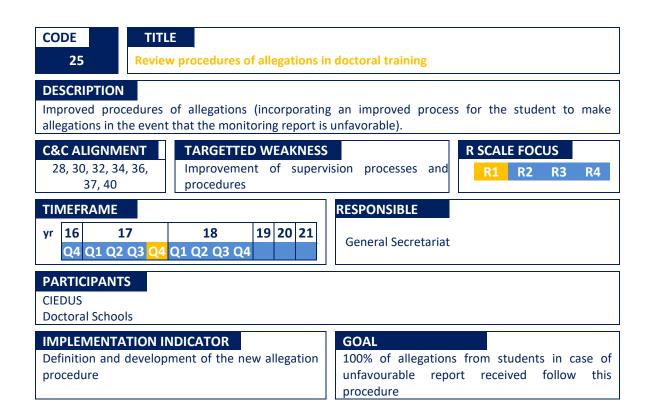
## 3.2.4 Training and Professional Development

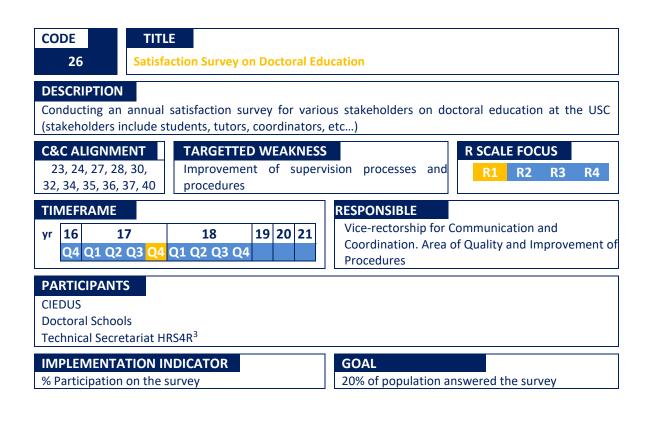
#### 3.2.4.1 Processes and procedures



CODE	TITLE				
24	Feasibility report of the PhD Resear	ch Proposal			
Generalization	<b>DESCRIPTION</b> Generalization of the feasibility report of the PhD research proposal for improving both the students admission and supervisors allocations processes. (Progressive action with two scheduled milestones)				
<b>C&amp;C ALIGNN</b> 12, 13, 15, 2 36, 37, 4	28, 30, Improving supervision pr	rocesses of R1 R2 R3 R4			
TIMEFRAME yr 16 Q4 Q1 Q	17       18       19       20       21         2 Q3 Q4       Q1       Q2 Q3 Q4	RESPONSIBLE CIEDUS			
PARTICIPANTS       Doctoral Schools					
% of Doctoral	ATION INDICATOR Programmes including the feasibility of the procedure for admission	GOAL 2018: 50% of Doctoral Programmes 2021: 85% of Doctoral Programmes			

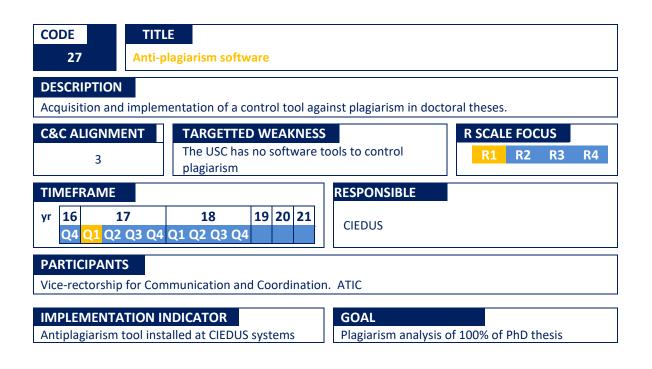




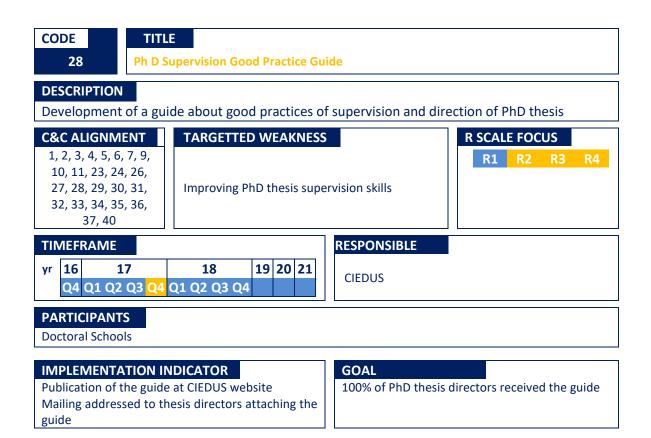


<sup>&</sup>lt;sup>3</sup> See Implementation Section

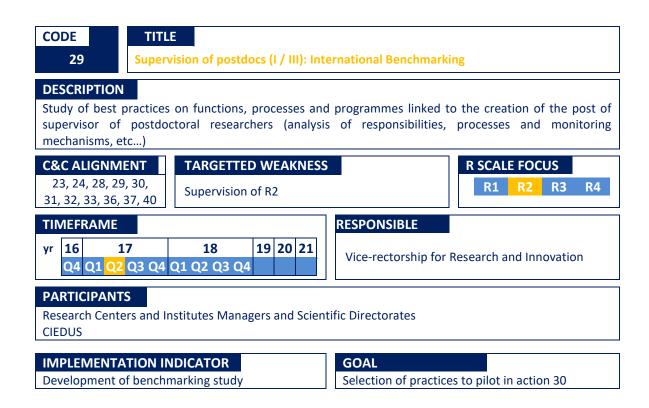


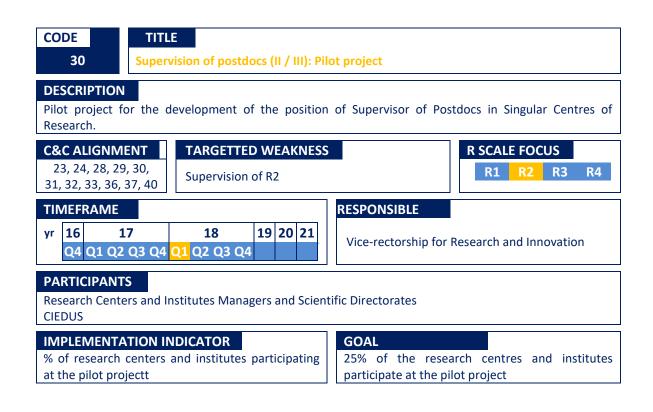


### 3.2.4.2 Improving the supervision

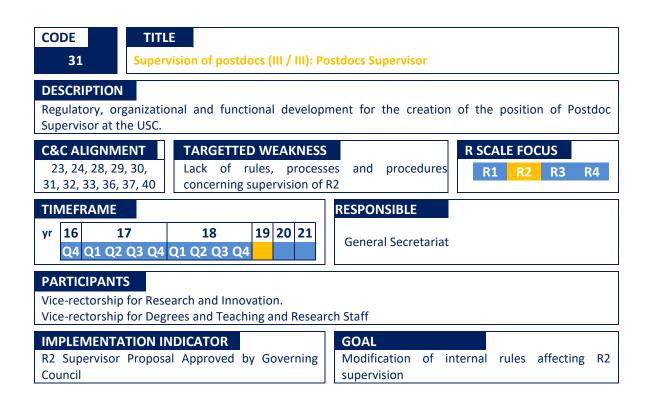




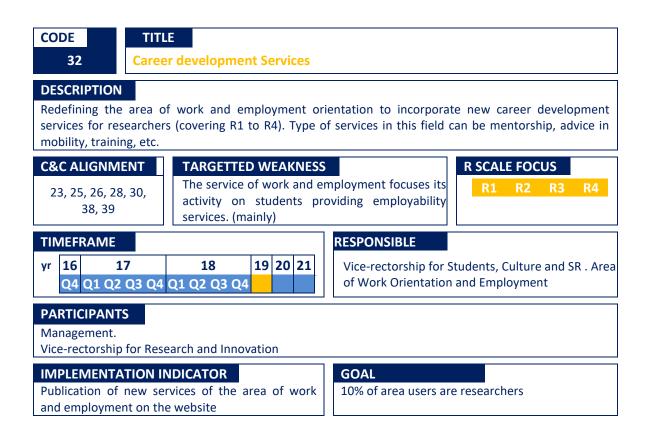








# 3.2.4.3 Training in professional skills and professional development

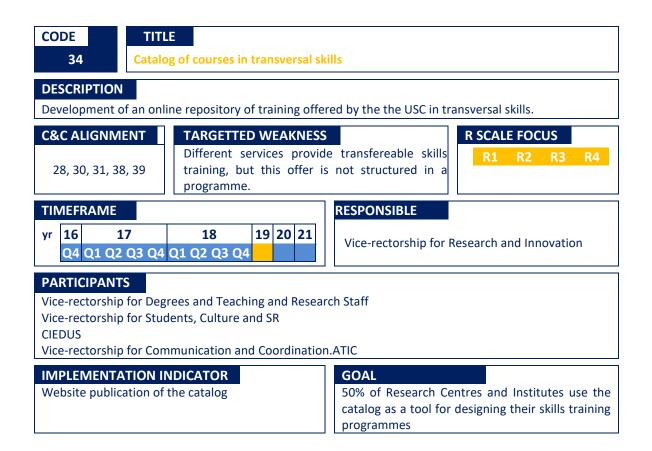




### USC HUMAN RESOURCES

#### STRATEGY FOR RESEARCHERS

CODETITLE33Map of professional skills	
51	each of the categories of the R scale of the USC. The map needs and needs in the training offer addressed to each
	R SCALE FOCUSprovide transfereable skillsffer is not structured in a
TIMEFRAME           yr         16         17         18         19         20           Q4         Q1         Q2         Q3         Q4         Q4 <t< td=""><td>21 RESPONSIBLE Vice-rectorship for Research and Innovation</td></t<>	21 RESPONSIBLE Vice-rectorship for Research and Innovation
<b>PARTICIPANTS</b> Vice-rectorship for Degrees and Teaching and R Vice-rectorship for Students, Culture and SR CIEDUS	Research Staff
IMPLEMENTATION INDICATOR Website publication of the map	GOAL 50% of Research Centres and Institutes use the map as a tool for designing their skills training programmes





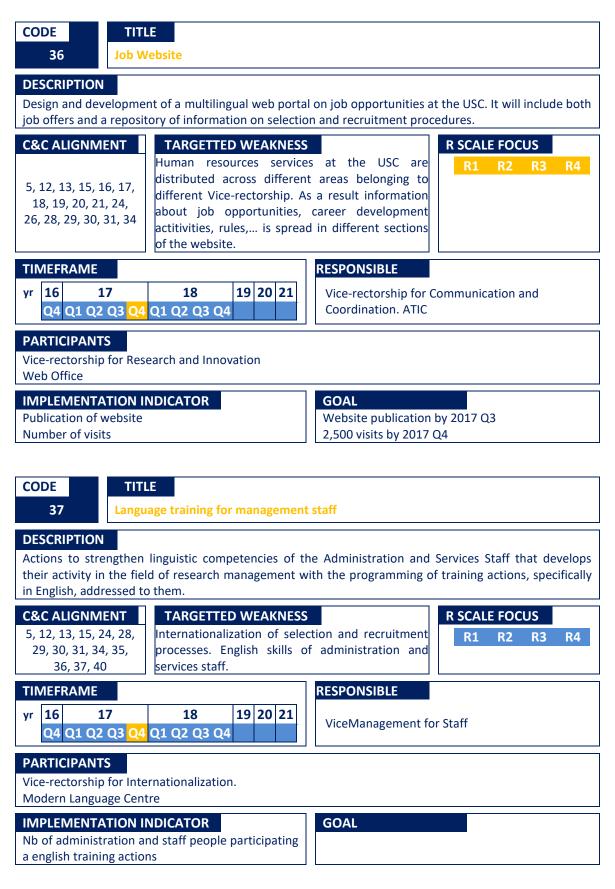
CODE 35	TITLE Certification of training in professional and transversal skills received during the doctoral period
programs. Cer	n professional and transversal training being developed within the framework of doctoral rtification of skills through courses in which they participate and recognizing the skills ugh doctoral training.
<b>C&amp;C ALIGNN</b> 28, 30, 33, 3	Recognition of professional and transferable
	RESPONSIBLE       17     18     19     20     21       2     Q3     Q4     Q1     Q2     Q3
PARTICIPAN	rs
	ATION INDICATOR GOAL oma Supplement including specific 100% of doctoral Diploma Supplements include

mention to the professional and transferable skills to the professional and transferable skills acquired during doctoral training

acquired during doctoral training



## 3.2.5 Improving Information Management





	TLE edures for admission and enroll	lment R1 in English	
DESCRIPTION English translation of	computer procedures for admiss	sion and registration	of R1.
<b>C&amp;C ALIGNMENT</b> 12, 13, 15, 24, 29, 37	TARGETTED WEAKNESSInternationalizationofrecruitment processes.	selection and	R SCALE FOCUS R1 R2 R3 R4
TIMEFRAME           yr         16         17           Q4         Q1         Q2         Q3         Q	18 19 20 21 4 Q1 Q2 Q3 Q4	RESPONSIBLE General Secretaria	t
PARTICIPANTS CIEDUS Vice-rectorship for Co	ommunication and Coordination.	ATIC	
<b>IMPLEMENTATION</b> Website publication and registration of R1	of procedures for admission	GOAL Increase in a 3% th english speaking Ph	ne number of aplications from ID candidates



# 3.3 Gantt Chart

		DEADLINE											
	ACTIONS	16		1	7			1	8		10	20	21
		Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	19	20	21
1 D	esarrollo y Formalización de Carrera Investigadora												
1.	Preliminary study for defining a Research Career Plan												
2.	Research Career Plan at the USC												
3.	Map of the research career at the USC (2016)												
4.	Alignment of the strategic planning of research institutes with the HR Action Plan												
2 Ir	nproving Processes and Procedures of Selection and Rec	uitin	g										
2.1	Improving processes and procedures	1			1	1	r	r	r	1	r		
5.	Simplification of administrative procedures for selection												
6.	eGovernment												
7.	Protocol for the international dissemination of selection processes												
8.	Charters for Services on Human Resources Management												
9.	Creation of the "USC Welcome Center"												
10.	Welcome Guide for new researchers												
2.2	Adoption of the OTM-R system			-			-	-	-		-		
11.	Working Group OTM-R system												
12.	Protocol and guide of the OTM-R system												
13.	Training under the OTM-R system												
14.	Improvement of procedures for hiring non permanent Teaching and Research Staff												
3 G	ood Practices in Research												
15.	Code of Good Practices in Research at the USC												
16.	Charter for Services on Research Management for researchers												
17.	Training in research management procedures												
18.	Unit of science dissemination												
19.	Improving research infrastructures												
20.	Training in occupational risk prevention												
21.	Information System on scientific production												
22.	III PEIOHM												



		DEADLINE										
ACTIONS	16		1	7			1	8		19	20	21
	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	19	20	21
4 Training and Professional Development												
4.1 Processes and Procedures				•	•	•	•					
23. System of Internal Quality Guarantee of the CIEDUS												
24. Support letter from thesis director												
25. Review procedures of allegations in doctoral training												
26. Satisfaction Survey on Doctoral Education												
27. Anti-plagiarism software												
4.2 Improving the Supervision												
28. Ph D Supervision Good Practice Guide												
29. Supervision of postdocs (I / III): International Benchmarking												
30. Supervision of postdocs (II / III): Pilot project												
31. Supervision of postdocs (III / III): Postdocs Supervisor												
4.3 Training in Professional Skills and Professional Developm	nent											
32. Career development Services												
33. Map of professional skills												
34. Catalog of courses in transversal skills												
35. Certification of training in professional and transversal skills received during the doctoral period												
5 Improving Information Management												
36. Job Website												
37. Language training for management staff												
38. Procedures for admission and enrollment R1 in English												



## **4 IMPLEMENTATION**

## 4.1 Strategic Framework: Alignment with Organizational Policies

The strategic framework for the implementation of the USC HR Action Plan is the "Strategic Plan of the USC 2011-2020" (http://www.usc.es/gl/servizos/calidade/planifestracal.html). This document recognizes, from the moment of its creation in 2010, that the university did not have a system for managing human resources that would allow the institution to face future challenges, and emphasizes the need to devise a strategy that will allow the incorporation of new approaches for the organization, recruitment and promotion of its staff. This vocation is specified in addition in the Focus Area "People" with the development and programming of actions in support of the strategic line: "Development of a model of human resources based on efficiency, flexibility and sustainability." Finally, it's worth noting that, in operational terms, the development of the process of analysis and planning HRS4R is also included in the multi-year planning for 2016, thus ensuring the provision of technical and financial resources for its implementation.

Moreover, it's noted that at this time the USC is developing a Strategic Plan for Internationalization so that both initiatives have also been aligned, seeking the connection of objectives and actions.

With regard to the implementation of the USC HR Action Plan, since the implementation of the 2011-2020 Strategic Plan, several actions have been addressed to advance in the definition of a new model for managing human resources, a model that will lead the USC to position itself at the same level as other internationally renowned universities.

In this sense, the USC HR Action Plan is designed as a tool to strengthen the development of the strategy mentioned above. The plan includes actions already envisaged in the 2011-2020 strategy - further revised and redesigned in the frame of this exercise- and also new strategies and actions resulting from the process of analysis and HRS4R planning.

In addition, the design of this plan has enabled the development of a model of governance, of coordination mechanisms and monitoring tools that will accelerate the adoption of new policies and practices of human resources management in research that will enable the USC to be in line with its European references.

For the above reasons, and regardless of the duration of the evaluation process to obtain the seal, the implementation of the plan begins as early as 2016.



## 4.2 Implementation Mechanisms

The implementation strategy of the plan is based, in large part, on the experience and practices adopted during the HRS4R process of analysis and planning. The mechanisms for coordination, monitoring and evaluation described below will be adopted:

#### Organizational model:

- The coordinator of the HR Action Plan will be the Vicerector for Research and Innovation. The main function will be to coordinate the overall process and the communication with the Management Team of the institution.
- **Technical Secretariat:** coordinated by the Director of AXVI and Head of the Quality Area it will in charge responsible for the day-to-day follow-up of the plan. It will be composed by technical staff.
- Monitoring Committee comprising the people responsible for each area that leads the actions envisaged in the plan (see Section 2) and, following the experience of the Working Group of the plan, also representatives of the different areas and services responsible for human resources at the USC (even if they do not lead any action), as well as researchers. The workflow articulated during the course of the plan will be maintained: a cloud tool of information access, regular meetings and ad-hoc subcommittees with key people for specific matters depending on the evolution of the process.

#### Participation of the research community:

- Integration of researchers in the Monitoring Committee, as described in the previous point.
- Integration of matters related to the USC HR Action Plan in surveys to researchers linked to various quality systems (e.g. surveys on doctoral training).
- A biannual survey of perception of researchers following the HRS4R 2016 survey model and incorporating new issues of concern in line with the development plan. It will be coordinated from the Area of Quality.

#### Ensuring the implementation:

• As has been developed during the planning period, the plan will include the **monitoring** of the USC HR Action Plan in the agenda of the **Management Team of the USC**, as well as the incorporation of the actions to the **multiannual Programming** and the **Improvement Plan**. <u>http://www.usc.es/gl/servizos/calidade/planifestracal.html</u>

#### Monitoring and assessment, preparation of the internal and external assessment:

The monitoring and the follow-up of the plan will be continuous, being one of the main **functions of the Technical Secretariat**. In addition, the following specific mechanisms will be provided:

- Creating a **scorecard** based on the indicators proposed in the plan.
- Holding Quarterly meetings of the Monitoring Committee.



- **Semi-annual review of the action plan** with the support of action charts of improvement that will be developed from the preliminary charts included in this plan.
- In addition, in order to prepare the assessments, the **Technical Secretariat will liaise with Euraxess** (both in Spain and in Brussels) to keep abreast of the developments in the process. Additionally, the participation of the different people involved in coordinating the plan will be fostered in the activities organized by Euraxess Rights (info days, information events, training, etc...).



### **5 COMMUNICATION**

The communication strategy has been coordinated by the **Communication Task Group**, led by the Vice-Rector for Communication and Coordination, and supported by the Technical Secretariat, the Press Cabinet and the Web Office (ATIC). This unit has been active throughout the entire planning process by supporting the Technical Secretariat for the deployment of activities related to the dissemination of the process and led both to the most direct participants (eg members of different working groups) and to the entire university community.

## 5.1 Actions Aimed at the Entire University Community

#### Design of the corporate image of the project and stylebook

The graphics and formats of the various documents covered by the process were specially designed: presentations, dossiers for working groups, etc... The image sought was one that would allow to visually associate the process to the HRS4R initiative.



#### Development and maintenance of the website

From the university Web Office, a section of the USC website specifically dedicated to the HRS4R was introduced. In this section, various contents have been incorporated:

## http://www.usc.es/gl/info xeral/hrs4r/index.html

- **Presentation** of the Project
- Description of the HRS4R initiative, links to EURAXESS webs and to the "Charter & Code"
- Description of the **implementation process** and inclusion of the **resulting documentation** (Gap Analysis, Survey, Action Plan)





#### Creation of news and other actions in electronic media

With the collaboration of the staff of the Press Cabinet of the university, various actions were developed for the dissemination of the initiative through various electronic media available to the USC. For example:

- Publication of **articles** in various websites linked to the USC:
  - O Xornal USC: <u>http://xornal.usc.es/xornal/acontece/2016\_09/noticia\_0090.html</u>
  - O CiTIUS: <u>https://citius.usc.es/n/1293</u>
  - CiQUS: <u>http://www.usc.es/ciqus/es/noticias/la-usc-se-adhiere-al-protocolo-de-excelencia-en-rrhh-de-investigacion-disenado-por-la-ue</u>
  - CiMUS: <u>http://www.usc.es/cimus/es/noticias/la-usc-se-adhiere-al-protocolo-de-excelencia-en-rrhh-disenado-por-la-ue</u>
  - Campus Vida: <u>http://campusvida.usc.es/es/la-usc-se-adhiere-al-protocolo-de-excelencia-en-rrhh-de-investigacion-disenado-por-la-ue/</u>

#### • Energizing social networks:



- Twiter:
  - CiTIUS: <u>https://twitter.com/citiususc/status/778195253165756416</u>
  - CiQUS: <u>https://twitter.com/cigususc/status/778194973716049920</u>
  - CiMUS: <u>https://twitter.com/cimususc/status/778195364738400256</u>
  - Campus Vida: <u>https://twitter.com/campusvida/status/778194781411373057</u>
- Facebook:
  - CiTIUS: <u>https://www.facebook.com/citiususc/posts/1773932636188614</u>
  - CiQUS: <u>https://www.facebook.com/ciqususc/posts/914177398686987</u>
  - CIMUS: <u>https://www.facebook.com/cimususc/posts/907861589348190</u>
  - Campus Vida: <u>https://www.facebook.com/campusvida/posts/1181655835240119</u>
- Google Plus:
  - CiTIUS: <u>https://plus.google.com/b/103888821083694973712/+citiususc/posts/HfJYBJKc8Ud</u>
  - CiQUS: <u>https://plus.google.com/b/116775744357382352691/116775744357382352691/posts/9uD6ErVPdNK</u>
  - CIMUS: <u>https://plus.google.com/b/106614003944832268440/106614003944832268440/posts/eU1JmkGy9sm</u>
  - Campus Vida: <u>https://plus.google.com/b/113113836613972294680/113113836613972294680/posts/5YPTghh9Jkf</u>



Inclusion of Banners on websites linked to the USC (<u>http://campusvida.usc.es/en/#</u>)

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#### **Coordination with the Communication Cabinet of the Rector**

Coordination with the Communication Cabinet of the Rector to include the strategy in public interventions throughout the process.

#### Creating messages for the dissemination of the survey to research staff

These messages were specifically created aimed at different target segments: general, directors of Singular Centres of Research, directors of Doctoral Programs, etc...

### **5.2** Actions Directed to Members of the Working Groups

These actions were meant to provide support to the Technical Secretariat throughout the entire process for the **dissemination and energization of the various meetings of the working groups**. For example:

- Creation and maintenance of work tools shared in the cloud
- Creation of messages presenting the project to attract participants to the working groups
- Drafting Meeting Calls
- Sending informative documentation to attendees



# **6 ANNEX: SURVEY - CLASSIFICATION OF RESEARCH STAFF**

#### R4

Permanent professors leading research areas who meet, at least, one of the three following requirements:

- To have all possible six-year research periods ("sexenio") positively assessed (at least three).
- To be a "Referencia Competitiva" group leader.
- To be the head of an USC's research institute or center.

#### R3

Permanent professors who do not meet the R4 requirements but carry out research and have teaching responsibilities and supervise PhD students.

#### R2B

Postdoctoral temporary researchers usually funded through programs such as "Ramón y Cajal", "Marie Curie Reintegration" and "Human Frontier Science Program". Researchers with a "Profesor Axudante Doutor" contract are also included.

#### R2A

Postdoctoral temporary researchers who have recently obtained a doctoral degree or have been hired within the framework of a research project. Examples: "Axudantes-USC", "Postdoctoral Xunta de Galicia (Categories A and B)", "Juan de la Cierva", "Marie-Sklodowska Curie Fellowships" (Individual and Career Restart). Postdoctoral researchers hired in projects as "Investigador Asociado" are also included.

#### **R1**

PhDStudents

